Annual Report for Calendar 2025

The Honorable Sheldon Whitehouse (Whitehouse, Sheldon)

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Print Report

The following statements were checked before filing:

✓ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
✓ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than $200, or donate any amount to a charity on your or your spouse's behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **Yes**

#	Who Was Paid	Type	Who Paid	Amount Paid	Comments
1	Spouse	Self-Employment Income	Ocean Conservancy Washington, DC	> $1,000	n/a

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than $1,000 or generated income of more than $200? **Yes**

	Asset	Asset Type	Owner	Value	Income Type	Income
1	**Morgan Stanley SEP IRA**	Retirement Plans IRA	Spouse	--		
1.1	JCAPX **- Janus Forty I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund	None (or less than $201)
1.2	JBALX **- Janus Balanced I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.3	XLF **- Financial Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.4	**GOOGL - Alphabet Inc.**	Corporate Securities Stock	Spouse	$100,001 - $250,000	None	None (or less than $201)
1.5	TJX **- The TJX Companies, Inc.**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
1.6	XLV **- Health Care Select Sector SPDR ETF (NYSEArca)**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.7	SAPYX **- ClearBridge Appreciation I (NASDAQ)**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.8	AMGN **- Amgen Inc.**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)

1.9	**NFLX - Netflix, Inc.**	Corporate Securities Stock	Spouse	$100,001 - $250,000	None	None (or less than $201)
1.10	**MORGAN STANLEY** (NEWPORT, RI) *Type:* Brokerage Sweep Account	Bank Deposit	Spouse	$15,001 - $50,000	None	None (or less than $201)
1.11	**AMZN-Amazon.com, Inc.**	Corporate Securities Stock	Spouse	$100,001 - $250,000	None	None (or less than $201)
1.12	**GS-The Goldman Sachs Group, Inc.**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
1.13	**JPM-JPMorgan Chase & Co.**	Corporate Securities Stock	Spouse	$50,001 - $100,000	None	None (or less than $201)
1.14	**VETAX-Victory Sycamore Established Value A**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.15	**IEMG - iShares Core MSCI Emerging Markets ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.16	**NVDA - NVIDIA Corporation - Common Stock**	Corporate Securities Stock	Spouse	$500,001 - $1,000,000	None	None (or less than $201)
1.17	**AMBFX-American Funds American Balanced F2**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.18	**WMT - Walmart Inc.**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
1.19	**DAL - Delta Air Lines, Inc.**	Corporate Securities Stock	Spouse	$1,001 - $15,000	None	None (or less than $201)
1.20	**LRCX - Lam Research Corporation**	Corporate Securities Stock	Spouse	$100,001 - $250,000	None	None (or less than $201)
1.21	**IAU - iShares Gold Trust**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.22	**IHI - iShares U.S. Medical Devices ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.23	**AMEFX - American Funds The Income Fund of America Class F-**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.24	**NFFFX - American Funds New World Fund Class F-2**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
1.25	**LMGNX - ClearBridge International Growth Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.26	**CSDIX - Cohen & Steers Real Estate Securities Fund, Inc. C**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
1.27	**JMGRX - Janus Henderson Enterprise Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.28	**NWKDX - Nationwide Geneva Small Cap Growth Fund Institutio**	Mutual Funds Mutual Fund	Spouse	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
1.29	**PGR - The Progressive Corporation**	Corporate Securities Stock	Spouse	None (or less than $1,001)	None	None (or less than $201)
1.30	**AMRFX - American Mutual Fund Class F-2 Shares**	Mutual Funds	Spouse	$15,001 -	Excepted Investment Fund	None (or less

		Mutual Fund		$50,000		than $201)
1.31	**GSFTX - Columbia Dividend Income Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.32	**VGT - Vanguard Information Tech ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$50,001 - $100,000	Excepted Investment Fund	None (or less than $201)
1.33	**CIBR - First Trust Nasdaq Cybersecurity ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.34	**PAVE - Global X U.S. Infrastructure Development ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.35	**VBR - Vanguard Small-Cap Value ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.36	**DGRW - WisdomTree U.S. Quality Dividend Growth Fund**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.37	**QQQ - Invesco QQQ Trust, Series 1**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.38	**NZAC - SPDR MSCI ACWI Climate Paris Aligned ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.39	**SMH - VanEck Semiconductor ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.40	**VIG - Vanguard Div Appreciation ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
1.41	**VBK - Vanguard Small-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.42	**LLY - Eli Lilly and Company**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
1.43	**IUGXX - Invesco Premier US Government Money Portfolio IN**	Mutual Funds Mutual Fund	Spouse	$100,001 - $250,000	Excepted Investment Fund	None (or less than $201)
1.44	**HFQIX - Janus Henderson Global Equity Income Fund - I Sh**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.45	**SPTS - SPDR Short-Term Treasury Portfolio ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.46	**VFH - Vanguard Financials ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
1.47	**VWO - Vanguard FTSE Emerging Markets ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
1.48	**JEPI - Jpmorgan Equity Premium Income ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.49	**GRID - First Trust NASDAQ Clean Edge Smart Grid Infrastru**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.50	**BOND - PIMCO Active Bond Exchange-Traded Fund Exchange-Tr**	Mutual Funds Exchange Traded	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)

		Fund/Note				
1.51	**FTHSX - Fullerthaler Behavioral Small-Cap Equity Fund In**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.52	**SPHQ - Invesco S&P 500 Quality ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.53	**HILIX - The Hartford International Value Fund - Class I**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.54	**SCIEX - Hartford Schroders International Stock Fund - Class I**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
1.55	**FTXSX - FullerThaler Behavioral Small-Cap Growth Fund - Institutional Shares**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	None	None (or less than $201)
2	**Morgan Stanley- IRA**	Retirement Plans IRA	Spouse	--		
2.1	**BRK-B - Berkshire Hathaway Inc.**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
2.2	**VDC - Vanguard Consumer Staples ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.3	**VGT - Vanguard Information Technology ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.4	**XLI - Industrial Select Sector SPDR ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
2.5	**IEMG - iShares Core MSCI Emerging Markets ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
2.6	**Morgan Stanley Private Bank** (Newport, RI) *Type:* Brokerage Sweep Account	Bank Deposit	Spouse	$1,001 - $15,000	None	None (or less than $201)
2.7	**NOBL - ProShares S&P 500 Dividend Aristocrats ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
2.8	**CEYIX - Calvert Equity Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.9	**LMGNX - ClearBridge International Growth Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.10	**GSFTX - Columbia Dividend Income Fund Class I**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.11	**IAU - ishares Gold Trust**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.12	**CIBR - First Trust Nasdaq Cybersecurity ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.13	**XLV - S&P 500 Healthcare Sector SPDR**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.14	**XLF - S&P 500 Financials Sector SPDR**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.15	**VWO - Vanguard FTSE Emerging Markets ETF**	Mutual Funds	Spouse	$1,001 -	Excepted Investment Fund	None (or less

		Exchange Traded Fund/Note		$15,000		than $201)
2.16	**VYM - Vanguard High Dividend Yield ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.17	**NFFFX - New World Fund Inc. Class F-2 Shs**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
2.18	**IUGXX INVESCO PREM US GOVT MNY INST**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.19	**HYG- ISHARES IBOXX HY COR**	Mutual Funds Exchange Traded Fund/Note	Spouse	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
2.20	**PONPX- PIMCO INCOME 12**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.21	**VIG- VANGUARD DIVIDEND APPRECI**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.22	**GRID - Nasdaq Smart Grid Infrastructure ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
2.23	**JEPQ - JPM Nasdaq Equity Premium Income ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
2.24	**SPHQ - Invesco S&P 500 Quality ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
2.25	**APDFX - Artisan High Income Fund - Advisor Shares**	Mutual Funds Mutual Fund	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
3	**Morgan Stanley-IRA**	Retirement Plans IRA	Self	--		
3.1	**Goldman Sachs Abslt Ret Trck I (GJRTX)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.2	**JP Morgan Dynamic Small Cap Gr Sel (JDSCX)**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.3	**XLK - Technology Select Sector SPDR ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.4	**QQQ - Invesco QQQ Trust**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	Excepted Investment Fund	None (or less than $201)
3.5	**VDC-Vanguard Consumer Staples ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.6	**BRK-B - Berkshire Hathaway Inc.**	Corporate Securities Stock	Self	$15,001 - $50,000	None	None (or less than $201)
3.7	**XLF - Financial Select Sector SPDR® ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.8	**IEMG - iShares Core MSCI Emerging Markets ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.9	**WMFFX-American Funds Washington Mutual F2**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.10	**SBLYX - ClearBridge Large Cap Growth I**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)

3.11	**JMGRX - Janus Henderson Enterprise I**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.12	**NFFFX - American Funds New World F2**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.13	**VEVIX - Victory Sycamore Established Value I**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.14	**AMEFX - American Funds The Income Fund of America Class F-**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.15	**CGW - Invesco S&P Global Water Index ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
3.16	**Morgan Stanley** (Newport, RI) *Type:* Brokerage Sweep Account	Bank Deposit	Self	$1,001 - $15,000	None	None (or less than $201)
3.17	**IAU - iShares Gold Trust**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.18	**NOBL - ProShares S&P 500 Dividend Aristocrats ETF**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
3.19	**LMGNX - ClearBridge International Growth Fund Class I**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.20	**GSFTX - Columbia Dividend Income Fund Institutional Class**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.21	**PRBLX - Parnassus Core Equity Fund - Investor Shares**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.22	**XLI - SPDR Select Sector Fund - Industrial**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.23	**COWZ - Pacer US Cash Cows 100 ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.24	**XLRE - Real Estate Select Sector SPDR Fund (The)**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.25	**IUGXX - Invesco Premier US Government Money Portfolio IN**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund	None (or less than $201)
3.26	**US TREASURY NOTE** *Rate/Coupon:* None *Matures:* None	Government Securities US Treasury/Agency Security	Self	None (or less than $1,001)	None	None (or less than $201)
3.27	**US TREASURY NOTE** *Rate/Coupon:* None *Matures:* None	Government Securities US Treasury/Agency Security	Self	$15,001 - $50,000	None	None (or less than $201)
3.28	**JEPI- JP MORGAN EQUITY PREMIUM**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.29	**HFQIX- JAMES HENDERSON GLB**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.30	**PONPX-PIMCO INCOME 12**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.31	**KO - Coca-Cola Company**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)

3.32	**GRID - Nasdaq Smart Grid Infrastructure ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
3.33	**PFD - Flaherty & Crumrine Preferred Inc**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.34	**CIBR - Nasdaq Cybersecurity ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.35	**PAVE - Global X U.S. Infrastructure Development ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
3.36	**XLV - SPDR Select Sector Fund - Health Care**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.37	**FTHSX - Fullerthaler Behavioral Small-Cap Equity Fund In**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.38	**SPHQ - Invesco S&P 500 Quality ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.39	**FEZ - SPDR(R) EURO STOXX 50(R) ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
3.40	**DGRW - WisdomTree U.S. Quality Dividend Growth Fund**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
4	**Morgan Stanley- Sheldon Whitehouse Family Trust**	Brokerage/Managed Account	Self	--		
4.1	**AMZN-Amazon.com Inc. (NASDAQ)**	Corporate Securities Stock	Self	$500,001 - $1,000,000	None	None (or less than $201)
4.2	**AXP-American Express Company (NYSE)**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.3	**CVS - CVS Caremark Corporation (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.4	**DHR - Danaher Corp. (NYSE)**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$201 - $1,000
4.5	**GOOGL - Alphabet Inc.**	Corporate Securities Stock	Self	$500,001 - $1,000,000	Dividends	$1,001 - $2,500
4.6	**HON - Honeywell International Inc. (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.7	**MCHP - Microchip Technology Inc. (NASDAQ)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.8	**MSFT-Microsoft Corporation (NASDAQ)**	Corporate Securities Stock	Self	$500,001 - $1,000,000	Dividends	$5,001 - $15,000
4.9	**NKE-Nike, Inc. (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.10	**ORCL-Oracle Corporation (NYSE)**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$1,001 - $2,500

4.11	**PEP - Pepsico, Inc. (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.12	**PG - The Procter & Gamble Company (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$2,501 - $5,000
4.13	**UNP-Union Pacific Corporation (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.14	**RTX - RTX Corporation Common Stock**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.15	**UNH-UnitedHealth Group Incorporated (NYSE)**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends	$1,001 - $2,500
4.16	**V-Visa Inc. (NYSE)**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends	$1,001 - $2,500
4.17	**JPM - JPMorgan Chase & Co.**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$2,501 - $5,000
4.18	**LOW - Lowe's Companies Inc. (NYSE)**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.19	**TMO-Thermo Fisher Scientific, Inc. (NYSE)**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$201 - $1,000
4.20	**XLV - Health Care Select Sector SPDR® ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.21	**AMGN-Amgen Inc. (NASDAQ)**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$1,001 - $2,500
4.22	**EL - The Estée Lauder Companies Inc. (NYSE)**	Corporate Securities Stock	Self	None (or less than $1,001)	None	None (or less than $201)
4.23	**PANW-Palo Alto Networks, Inc. (NYSE)**	Corporate Securities Stock	Self	$100,001 - $250,000	None	None (or less than $201)
4.24	**VZ - Verizon Communications Inc. (NYSE)**	Corporate Securities	Self	$15,001 - $50,000	Dividends	$2,501 - $5,000

		Stock				
4.25	**META - Meta Platforms Inc**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$201 - $1,000
4.26	**LRCX-Lam Research Corporation**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends	$2,501 - $5,000
4.27	**NFLX-Netflix, Inc.**	Corporate Securities Stock	Self	$250,001 - $500,000	None	None (or less than $201)
4.28	**WMT-Wal-Mart Stores Inc.**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$201 - $1,000
4.29	**ANWFX-American Funds New Perspective F2**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund	$201 - $1,000
4.30	**AAPL-Apple Inc.**	Corporate Securities Stock	Self	$1,000,001 - $5,000,000	Dividends	$2,501 - $5,000
4.31	**BAC-Bank of America Corporation**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$1,001 - $2,500
4.32	**GS-The Goldman Sachs Group, Inc.**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends	$5,001 - $15,000
4.33	**HD-The Home Depot, Inc.**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends	$5,001 - $15,000
4.34	**CSCO - Cisco Systems, Inc.**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.35	**FTV-Fortive Corporation**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.36	**NVDA-NVIDIA Corporation**	Corporate Securities Stock	Self	$1,000,001 - $5,000,000	Dividends, Capital Gains	$15,001 - $50,000
4.37	**SBUX-Starbucks Corporation**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends, Capital Gains	$5,001 - $15,000
4.38	**ADI - Analog Devices, Inc.**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$201 - $1,000
4.39	**BRK-B - Berkshire Hathaway Inc.**	Corporate Securities Stock	Self	$50,001 - $100,000	None	None (or less than $201)
4.40	**IR - Ingersoll-Rand Plc**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.41	**SYK-Stryker Corporation**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
4.42	**IHI - iShares US Medical Devices ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
4.43	**KO - The Coca-Cola Company**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$1,001 - $2,500

4.44	**Morgan Stanley** (Newport, RI) *Type:* Money Market Account	Bank Deposit	Self	$15,001 - $50,000	Interest	None (or less than $201)
4.45	**DLR - Digital Realty Trust, Inc. Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
4.46	**CIBR - First Trust NASDAQ Cybersecurity ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
4.47	**XLI - SPDR Select Sector Fund - Industrial**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	Excepted Investment Fund	$201 - $1,000
4.48	**VFH - Vanguard Financials ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.49	**VYM - Vanguard High Dividend Yield ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.50	**CAIFX - Capital Income Builder Cl F-2 Shs**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.51	**VNT - Vontier Corporation Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.52	**SMH - VanEck Semiconductor ETF**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	$5,001 - $15,000
4.53	**VDC - Vanguard Consumer Staples ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$1,001 - $2,500
4.54	**VBR - Vanguard Small-Cap Value ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$1,001 - $2,500
4.55	**DGRW - WisdomTree U.S. Quality Dividend Growth Fund**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.56	**ELV - Elevance Health Inc**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
4.57	**COWZ - Pacer US Cash Cows 100 Index**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
4.58	**IUGXX - Invesco Premier US Government Money Portfolio IN**	Mutual Funds Mutual Fund	Self	$50,001 - $100,000	Excepted Investment Fund	$1,001 - $2,500
4.59	**CSVIX - Calvert Small Cap Fund Class I**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
4.60	**PARWX - Parnassus Endeavor Fund - Investor Shares**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
4.61	**LLY - Eli Lilly and Company**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends	$201 - $1,000
4.62	**NVS - Novartis Ag ADR**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
4.63	**SDZNY- Sandoz Group AG ADR**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.64	**JEPI - JPMorgan Equity Premium Income ETF**	Mutual Funds	Self	$15,001 -	Excepted Investment Fund	$2,501 -

		Exchange Traded Fund/Note		$50,000		$5,000
4.65	**HYG - iShares iBoxx $ High Yield Corporate Bond ETF**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	$1,001 - $2,500
4.66	**VOO - Vanguard S&P 500 ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	Excepted Investment Fund	$201 - $1,000
4.67	**VBK - Vanguard Small-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	Excepted Investment Fund	$201 - $1,000
4.68	**GQGIX - Gqg Partners Emerging Markets Equity Fund Instit**	Mutual Funds Mutual Fund	Self	None (or less than $1,001)	Excepted Investment Fund	$1,001 - $2,500
4.69	**CCI - Crown Castle Inc**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends	$201 - $1,000
4.70	**GRID - First Trust NASDAQ Clean Edge Smart Grid Infrastru**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	None (or less than $201)
4.71	**SOLS - Solstice Advanced Materials Inc. - Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.72	**RAL - Ralliant Corporation Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends	None (or less than $201)
4.73	**GH - Guardant Health, Inc. - Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	None	None (or less than $201)
4.74	**HSY - The Hershey Company Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends	$201 - $1,000
4.75	**GLD - SPDR Gold Shares**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	None	None (or less than $201)
5	**Morgan Stanley [1]**	Brokerage/Managed Account	Joint	--		
5.1	**Morgan Stanley** (Newport, RI) *Type:* Money Market Account	Bank Deposit	Joint	$50,001 - $100,000	None	None (or less than $201)
6	**Helen Chase Rand Residual Trust**	Trust General Trust	Self	--		
6.1	**TROIX - T. Rowe Price Overseas Stock I**	Mutual Funds Mutual Fund	Self	None (or less than $1,001)	Excepted Investment Fund	$1,001 - $2,500
6.2	**Wells Fargo Market Deposit AC** (New York, NY) *Type:* Money Market Account	Bank Deposit	Self	$15,001 - $50,000	Interest	$201 - $1,000
6.3	**FGBMX - Fidelity Advisor New Markets Income Fund Class Z**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
6.4	**ITOT - iShares Core S&P Total U.S. Stock Market ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$50,001 - $100,000	Excepted Investment Fund	$201 - $1,000
6.5	**VO - Vanguard Mid-Cap ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.6	**DODGX - Dodge & Cox Stock Fund**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)

6.7	**PCRIX - Pimco Commodityrealreturn Strategy Fund Institut**	Mutual Funds Exchange Traded Fund/Note	Self	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
6.8	**TGEPX - Tcw Emerging Markets Income Fund Plan Share**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
6.9	**GSLC - Goldman Sachs ActiveBeta U.S. Large Cap Equity ETF**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
6.10	**TMPRX - Touchstone Mid Cap Fund Class R6**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.11	**JCBUX - Jpmorgan Core Bond Fund R6**	Mutual Funds Mutual Fund	Self	None (or less than $1,001)	Excepted Investment Fund	$1,001 - $2,500
6.12	**GSIYX - Goldman Sachs Gqg Partners International Opportu**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.13	**PMAQX - Principal Funds Inc. - Midcap Fund Class R6**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.14	**LCGJX - William Blair Large Cap Growth Fund Class R6**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.15	**XLE - S&P 500 Energy Sector SPDR**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.16	**ECSQX - Embark Commodity Strategy Fund Retirement Class**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	$1,001 - $2,500
6.17	**ESCQX - Embark Small Cap Equity Fund Retirement Class**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
6.18	**IEFA - iShares Core MSCI EAFE ETF**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
6.19	**BAGIX - Baird Aggregate Bond Fund - Institutional**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$1,001 - $2,500
6.20	**MHYSX - NYLI MacKay High Yield Corporate Bond Fund - Class R6**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
6.21	**PHYQX - PGIM HIGH YIELD FUND - Class R6**	Mutual Funds Mutual Fund	Self	$1,001 - $15,000	Excepted Investment Fund	$201 - $1,000
6.22	**PTTRX - PIMCO Total Return Fund - Institutional**	Mutual Funds Mutual Fund	Self	$15,001 - $50,000	Excepted Investment Fund	$1,001 - $2,500
7	**Rita D Sellar GST Exempt Trust**	Trust Excepted	Spouse	Unascertainable	Dividends, Capital Gains	$2,501 - $5,000
8	**H Yale TW Dolan Trust**	Trust Excepted	Spouse	Unascertainable	Dividends, Interest, Capital Gains, Other (Portfolio income)	$5,001 - $15,000 Other $0.00
9	**Sarah B TD Dolan Trust**	Trust Excepted	Spouse	Unascertainable	Dividends	$1,001 - $2,500
10	**Thomas Dolan Trust**	Trust Excepted	Spouse	Unascertainable	Dividends, Interest, Capital Gains, Other (Portfolio income)	$100,001 - $1,000,000 Other $1,001.00
11	**Bank of America** (Newport, RI) *Type:* Checking	Bank Deposit	Spouse	$1,001 - $15,000	Interest	None (or less than $201)
12	**Morgan Stanley- Sheldon Whitehouse Tr- 2004**	Brokerage/Managed Account	Self	--		
12.1	**Morgan Stanley** (Newport, RI) *Type:* Money Market Account	Bank Deposit	Self	$1,001 - $15,000	Interest	None (or less than $201)
12.2	**GOOGL - Alphabet Inc. - Class A Common Stock**	Corporate Securities	Self	$50,001 - $100,000	None	None (or less than $201)

		Stock				
12.3	**AMZN - Amazon.com, Inc. - Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	None	None (or less than $201)
12.4	**AMGN - Amgen Inc. - Common Stock**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$5,001 - $15,000
12.5	**ADI - Analog Devices, Inc. - Common Stock**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$15,001 - $50,000
12.6	**AAPL - Apple Inc. - Common Stock**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends, Capital Gains	$50,001 - $100,000
12.7	**BAC - Bank of America Corporation Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.8	**KO - Coca-Cola Company (The) Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.9	**DHR - Danaher Corporation Common Stock**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$5,001 - $15,000
12.10	**HD - Home Depot, Inc. (The) Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.11	**HON - Honeywell International Inc. - Common Stock**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$15,001 - $50,000
12.12	**JPM - JP Morgan Chase & Co. Common Stock**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
12.13	**LMT - Lockheed Martin Corporation Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.14	**MA - Mastercard Incorporated Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	None (or less than $201)
12.15	**MSFT - Microsoft Corporation - Common Stock**	Corporate Securities Stock	Self	$100,001 - $250,000	Dividends, Capital Gains	$5,001 - $15,000
12.16	**NVDA - NVIDIA Corporation - Common Stock**	Corporate Securities Stock	Self	$250,001 - $500,000	Dividends, Capital Gains	$15,001 - $50,000
12.17	**PEP - PepsiCo, Inc. - Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.18	**PG - Procter & Gamble Company (The) Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.19	**RTX - RTX Corporation Common Stock**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$1,001 - $2,500
12.20	**SBUX - Starbucks Corporation - Common Stock**	Corporate Securities Stock	Self	$1,001 - $15,000	Dividends, Capital Gains	$2,501 - $5,000
12.21	**UNH - UnitedHealth Group Incorporated Common Stock (DE)**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$15,001 - $50,000

12.22	**VZ - Verizon Communications Inc. Common Stock**	Corporate Securities Stock	Self	None (or less than $1,001)	Dividends, Capital Gains	$2,501 - $5,000
12.23	**V - Visa Inc.**	Corporate Securities Stock	Self	$50,001 - $100,000	Dividends	$201 - $1,000
12.24	**WMT - Walmart Inc. Common Stock**	Corporate Securities Stock	Self	$15,001 - $50,000	Dividends	$201 - $1,000
12.25	**XLV - SPDR Select Sector Fund - Health Care**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	$2,501 - $5,000
12.26	**XLI - SPDR Select Sector Fund - Industrial**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	$2,501 - $5,000
12.27	**QQQ - Invesco QQQ Trust, Series 1**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
12.28	**VFH - Vanguard Financials ETF**	Mutual Funds Exchange Traded Fund/Note	Self	None (or less than $1,001)	Excepted Investment Fund	$5,001 - $15,000
12.29	**VYM - Vanguard High Dividend Yield ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
12.30	**JEPI - Jpmorgan Equity Premium Income ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	$1,001 - $2,500
12.31	**VOO - Vanguard S&P 500 ETF**	Mutual Funds Exchange Traded Fund/Note	Self	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
12.32	**RI INFRASTRUCTURE BK SAFE DRINKIN** *Rate/Coupon:* 3.0% *Matures:* 10/01/2032	Government Securities Municipal Security	Self	None (or less than $1,001)	None	None (or less than $201)
13	**Morgan Stanley-Sandra Whitehouse Tr-2004**	Brokerage/Managed Account	Spouse	--		
13.1	**Morgan Stanley** (Newport, RI) *Type:* Money Market Account	Bank Deposit	Spouse	$1,001 - $15,000	None	None (or less than $201)
13.2	**GOOGL - Alphabet Inc. - Class A Common Stock**	Corporate Securities Stock	Spouse	$50,001 - $100,000	None	None (or less than $201)
13.3	**AMZN - Amazon.com, Inc. - Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	None	None (or less than $201)
13.4	**AMGN - Amgen Inc. - Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.5	**ADI - Analog Devices, Inc. - Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.6	**AAPL - Apple Inc. - Common Stock**	Corporate Securities Stock	Spouse	$250,001 - $500,000	Dividends	$1,001 - $2,500
13.7	**BAC - Bank of America Corporation Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.8	**KO - Coca-Cola Company (The) Common Stock**	Corporate	Spouse	$15,001 -	Dividends	$201 - $1,000

		Securities Stock		$50,000		
13.9	**HD - Home Depot, Inc. (The) Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.10	**HON - Honeywell International Inc. - Common Stock**	Corporate Securities Stock	Spouse	None (or less than $1,001)	Dividends, Capital Gains	$15,001 - $50,000
13.11	**JPM - JP Morgan Chase & Co. Common Stock**	Corporate Securities Stock	Spouse	$50,001 - $100,000	Dividends	$1,001 - $2,500
13.12	**LRCX - Lam Research Corporation - Common Stock**	Corporate Securities Stock	Spouse	$50,001 - $100,000	Dividends	$201 - $1,000
13.13	**LMT - Lockheed Martin Corporation Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.14	**MA - Mastercard Incorporated Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.15	**MCD - McDonald's Corporation Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.16	**MSFT - Microsoft Corporation - Common Stock**	Corporate Securities Stock	Spouse	$100,001 - $250,000	Dividends	$1,001 - $2,500
13.17	**NVDA - NVIDIA Corporation - Common Stock**	Corporate Securities Stock	Spouse	$250,001 - $500,000	Dividends, Capital Gains	$50,001 - $100,000
13.18	**PEP - PepsiCo, Inc. - Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.19	**PG - Procter & Gamble Company (The) Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.20	**SBUX - Starbucks Corporation - Common Stock**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends, Capital Gains	$2,501 - $5,000
13.21	**UNH - UnitedHealth Group Incorporated Common Stock (DE)**	Corporate Securities Stock	Spouse	None (or less than $1,001)	Dividends, Capital Gains	$15,001 - $50,000
13.22	**VZ - Verizon Communications Inc. Common Stock**	Corporate Securities Stock	Spouse	$1,001 - $15,000	Dividends	$201 - $1,000
13.23	**V - Visa Inc.**	Corporate Securities Stock	Spouse	$50,001 - $100,000	Dividends	$201 - $1,000
13.24	**WMT - Walmart Inc. Common Stock**	Corporate Securities Stock	Spouse	$15,001 - $50,000	Dividends	$201 - $1,000
13.25	**XLV - SPDR Select Sector Fund - Health Care**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000
13.26	**QQQ - Invesco QQQ Trust, Series 1**	Mutual Funds Exchange Traded Fund/Note	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
13.27	**VIG - Vanguard Div Appreciation ETF**	Mutual Funds Exchange Traded	Spouse	$15,001 - $50,000	Excepted Investment Fund	$201 - $1,000

		Fund/Note				
13.28	**VBK - Vanguard Small-Cap Growth ETF**	Mutual Funds Exchange Traded Fund/Note	Spouse	$1,001 - $15,000	Excepted Investment Fund	None (or less than $201)
13.29	**KVUE - Kenvue Inc. Common Stock**	Corporate Securities Stock	Spouse	None (or less than $1,001)	Capital Gains	$201 - $1,000
14	**VCSP/COLLEGEAMERICA SANDRA WHITEHOUSE fbo V KLAZMER** *Institution:* MORGAN STANLEY	Education Savings Plans 529 College Savings Plan	Spouse	--		
14.1	**CGPAX - American Funds Growth Portfolio Class 529-A**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
15	**VCSP/COLLEGEAMERICA SANDRA WHITEHOUSE fbo G KLAZMER** *Institution:* MORGAN STANLEY	Education Savings Plans 529 College Savings Plan	Spouse	--		
15.1	**CGPAX - American Funds Growth Portfolio Class 529-A**	Mutual Funds Mutual Fund	Spouse	$15,001 - $50,000	Excepted Investment Fund	None (or less than $201)
16	**Bank of America** (Newport, RI) *Type:* Checking	Bank Deposit	Spouse	$50,001 - $100,000	Interest	None (or less than $201)

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

#	Transaction Date	Owner	Ticker	Asset Name	Type	Amount	Comment
1	01/06/2025	Self	NVDA	Nvidia Corp	Sale (Partial)	$15,001 - $50,000	--
2	04/03/2025	Spouse	HON	Honeywell International Inc	Sale (Full)	$15,001 - $50,000	--
3	04/11/2025	Self	SBUX	Starbucks Corp	Sale (Partial)	$1,001 - $15,000	--
4	04/11/2025	Spouse	SBUX	Starbucks Corporation - Common Stock	Sale (Partial)	$1,001 - $15,000	--
5	04/14/2025	Self	SBUX	Starbucks Corp	Sale (Partial)	$15,001 - $50,000	--
6	04/14/2025	Self	AAPL	Apple Inc	Sale (Partial)	$15,001 - $50,000	--
7	04/14/2025	Spouse	NVDA	Nvidia Corp	Sale (Partial)	$15,001 - $50,000	--
8	04/29/2025	Self	EL	Estee Lauder Companies	Sale (Full)	$1,001 - $15,000	--
9	07/03/2025	Self	ADI	Analog Devices	Sale (Full)	$15,001 - $50,000	

9	07/03/2025	Self	ADI	Analog Devices	Sale (Full)	$15,001 - $50,000	--
10	07/07/2025	Spouse	NVDA	Nvidia Corp	Sale (Partial)	$15,001 - $50,000	--
11	08/28/2025	Spouse	LRCX	Lam Research Corp	Sale (Partial)	$15,001 - $50,000	--
12	08/28/2025	Self	DHR	Danaher Corp	Sale (Full)	$1,001 - $15,000	--
13	08/28/2025	Spouse	KVUE	Kenvue Inc	Sale (Full)	$1,001 - $15,000	--
14	08/28/2025	Spouse	UNH	Unitedhealth Group Inc	Sale (Full)	$15,001 - $50,000	--
15	09/04/2025	Self	MSFT	Microsoft Corp	Sale (Partial)	$15,001 - $50,000	--
16	09/04/2025	Self	AAPL	Apple Inc	Sale (Partial)	$15,001 - $50,000	--
17	09/04/2025	Self	AMGN	Amgen Inc	Sale (Full)	$1,001 - $15,000	--
18	09/04/2025	Self	--	RI INFRASTRUCTURE BK SAFE DRINKIN *Rate/Coupon:* 3.0% *Matures:* 10/01/2032	Sale (Full)	$15,001 - $50,000	--
19	09/04/2025	Self	HON	Honeywell International Inc	Sale (Full)	$15,001 - $50,000	--
20	09/04/2025	Self	NVDA	Nvidia Corp	Sale (Partial)	$15,001 - $50,000	--
21	09/04/2025	Self	VZ	Verizon Communications Inc	Sale (Full)	$1,001 - $15,000	--
22	09/04/2025	Self	UNH	Unitedhealth Group Inc	Sale (Full)	$15,001 - $50,000	--
23	10/20/2025	Self	NVDA	Nvidia Corp	Sale (Partial)	$15,001 - $50,000	--
24	10/27/2025	Spouse	PGR	Progressive Corp	Sale (Full)	$15,001 - $50,000	--
25	11/21/2025	Self	HSY	Hershey Foods Corp	Purchase	$1,001 - $15,000	--
26	11/21/2025	Self	KO	Coca-Cola Company	Purchase	$1,001 - $15,000	--
27	11/21/2025	Self	GH	Guardant Health Inc	Purchase	$1,001 - $15,000	--

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded $1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Spouse	SPHQ	Invesco S&P 500 Quality ETF	Purchase	07/28/2025	$15,001 - $50,000	n/a
2	Spouse	DGRW	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	07/28/2025	$1,001 - $15,000	n/a
3	Spouse	SPHQ	Invesco S&P 500 Quality ETF	Purchase	07/29/2025	$1,001 - $15,000	n/a
4	Spouse	HILIX	The Hartford International Value Fund - Class I	Purchase	07/29/2025	$15,001 - $50,000	n/a
5	Spouse	SCIEX	Hartford Schroders International Stock Fund - Class I	Purchase	07/28/2025	$15,001 - $50,000	n/a
6	Spouse	AMEFX	INCOME FUND OF AMERICA - Class F-2	Purchase	07/29/2025	$15,001 - $50,000	n/a
7	Spouse	FTXSX	FullerThaler Behavioral Small-Cap Growth Fund - Institutional Shares	Purchase	12/16/2025	$15,001 - $50,000	n/a
8	Spouse	NWKDX	Nationwide Geneva Small Cap Growth Fund - Institutional Service Class	Sale (Full)	12/16/2025	$15,001 -	n/a

						$50,000	
9	Spouse	NOBL	ProShares S&P 500 Dividend Aristocrats ETF	Sale (Full)	04/16/2025	$1,001 - $15,000	n/a
10	Spouse	SPHQ	Invesco S&P 500 Quality ETF	Purchase	04/16/2025	$1,001 - $15,000	n/a
11	Spouse	NFFFX	NEW WORLD FUND INC - Class F-2	Purchase	08/29/2025	$1,001 - $15,000	n/a
12	Spouse	HYG	iShares iBoxx $ High Yield Corporate Bond ETF	Sale (Full)	04/29/2025	$15,001 - $50,000	n/a
13	Spouse	VWO	Vanguard Emerging Markets Stock Index Fund - ETF Shares	Purchase	08/29/2025	$1,001 - $15,000	n/a
14	Spouse	APDFX	Artisan High Income Fund - Advisor Shares	Purchase	08/29/2025	$1,001 - $15,000	n/a
15	Self	SPHQ	Invesco S&P 500 Quality ETF	Purchase	04/16/2025	$1,001 - $15,000	n/a
16	Self	NOBL	ProShares S&P 500 Dividend Aristocrats ETF	Sale (Full)	04/16/2025	$15,001 - $50,000	n/a
17	Self	IEMG	iShares Core MSCI Emerging Markets ETF	Purchase	07/28/2025	$1,001 - $15,000	n/a
18	Self	COWZ	Pacer US Cash Cows 100 ETF	Purchase	07/28/2025	$1,001 - $15,000	n/a
19	Self	DGRW	WisdomTree U.S. Quality Dividend Growth Fund	Purchase	07/28/2025	$15,001 - $50,000	n/a
20	Self	XLI	The Industrial Select Sector SPDR Fund	Purchase	12/30/2025	$1,001 - $15,000	n/a
21	Self	PFD	Flaherty & Crumrine Preferred and Income Fund Incorporated	Purchase	12/30/2025	$1,001 - $15,000	n/a
22	Self	FEZ	SPDR(R) EURO STOXX 50(R) ETF	Purchase	12/30/2025	$1,001 - $15,000	n/a
23	Self	SPHQ	Invesco S&P 500 Quality ETF	Purchase	12/30/2025	$1,001 - $15,000	n/a
24	Self	CIBR	First Trust NASDAQ Cybersecurity ETF	Purchase	12/30/2025	$1,001 - $15,000	n/a
25	Self	LMGNX	ClearBridge International Growth Fund - Class I	Purchase	12/30/2025	$1,001 - $15,000	n/a
26	Self	AMEFX	INCOME FUND OF AMERICA - Class F-2	Purchase	12/30/2025	$1,001 - $15,000	n/a
27	Self	FTHSX	FullerThaler Behavioral Small-Cap Equity Fund - Institutional Shares	Purchase	05/05/2025	$1,001 - $15,000	n/a
28	Self	GRID	First Trust NASDAQ Clean Edge Smart Grid Infrastructure Index Fund	Sale (Full)	04/30/2025	$15,001 - $50,000	n/a
29	Self	GQGIX	GQG PARTNERS EMERGING MARKETS EQUITY FUND - Institutional Shares	Sale (Full)	08/28/2025	$1,001 - $15,000	n/a
30	Self	HYG	iShares iBoxx $ High Yield Corporate Bond ETF	Sale (Full)	08/28/2025	$15,001 - $50,000	n/a
31	Self	COWZ	Pacer US Cash Cows 100 ETF	Sale (Full)	04/29/2025	$15,001 - $50,000	n/a

32	Self	SMH	VanEck Semiconductor ETF	Sale (Full)	09/15/2025	$15,001 - $50,000	n/a
33	Self	VBR	Vanguard Small-Cap Value Index Fund - ETF Shares	Sale (Partial)	08/08/2025	$1,001 - $15,000	n/a
34	Self	XLI	The Industrial Select Sector SPDR Fund	Sale (Full)	04/03/2025	$1,001 - $15,000	n/a
35	Self	VFH	Vanguard Financials Index Fund - ETF Shares	Sale (Full)	07/03/2025	$1,001 - $15,000	n/a
36	Self	XLV	The Health Care Select Sector SPDR Fund	Sale (Full)	08/28/2025	$1,001 - $15,000	n/a
37	Self	VO	Vanguard Mid-Cap Index Fund - ETF Shares	Purchase	03/21/2025	$1,001 - $15,000	n/a
38	Self	JCBUX	JPMorgan Core Bond Fund - Class R6	Sale (Full)	03/21/2025	$15,001 - $50,000	n/a
39	Self	TROIX	T. Rowe Price Overseas Stock Fund - T. Rowe Price Overseas Stock Fund-I Class	Sale (Full)	03/21/2025	$1,001 - $15,000	n/a
40	Self	PONPX	PIMCO Income Fund - I-2	Purchase	04/16/2025	$1,001 - $15,000	n/a
41	Self	CAIFX	Capital Income Builder - Class F-2	Purchase	11/21/2025	$1,001 - $15,000	n/a
42	Self	IEFA	iShares Core MSCI EAFE ETF	Purchase	03/21/2025	$1,001 - $15,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any reportable travel? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than $10,000 at any time or a revolving charge account whose value exceeded $10,000 as of the last day of the reporting period? **Yes**

#	Incurred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2016	Joint	Mortgage	0	3.35% (15)	$100,001 - $250,000	Morgan Stanley Private Bank Ewing, NJ	n/a
2	2016	Joint	Mortgage	0	3.53% (15)	$100,001 - $250,000	Shellpoint Mtg division of Newrez LLC Greenville, SC	Washington, DC Condo
3	2023	Joint	Other (Liquidity Access Line)	-	2.45% (7 years)	$100,001 - $250,000	Morgan Stanley Newport, RI	n/a
4	2025	Joint	Other (Liquidity Access Line)	-	4.99% (3 years)	$50,001 - $100,000	Morgan Stanley Newport, RI	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Nov 2012	Adams Media Avon, MA	Royalty Agreement	Adams Media published a book written by Sen Whitehouse titled "On Virtues" and royalties to be paid on book. No royalties were received in 2025.	n/a
2	Jun 2015	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "Captured: The Corporate Infiltration of American Democracy." No royalties were received in 2025. All royalties are donated to charity.	n/a
3	Oct 2021	The New Press New York, NY	Royalty Agreement	Royalties based on customary and usual terms for a nonfiction book titled "The Scheme". No royalties were received in 2025.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than $5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

Comments

As a result of the separation agreement between the Senator and his financial advisor, the Senator has no involvement with Morgan Stanley regarding the purchase or sales of investments. He is not informed of any purchases, sales, or holdings by his advisor. The review of these forms and the corresponding PTRs is the first occasion the Senator has seen these transactions.