

Annual Report for Calendar 2025

Mrs. Joni K Ernst (Ernst, Joni)

 Filed 05/15/2026 @ 11:37 AM

The following statements were checked before filing:

- ☒ I certify that the statements I have made on this form are true, complete and correct to the best of my knowledge and belief.
- ☒ I understand that reports cannot be edited once filed. To make corrections, I will submit an *electronic* amendment to this report.

☐ I *omitted* assets because they meet the three-part test for exemption.

Part 1. Honoraria Payments or Payments to Charity in Lieu of Honoraria

Did any individual or organization pay you or your spouse more than \$200, or donate any amount to a charity on your or your spouse’s behalf, for an article, speech, or appearance? **No**

Part 2. Earned and Non-Investment Income

Did you or your spouse have reportable earned income or non-investment income? **No**

Part 3. Assets

Did you, your spouse, or dependent child own any asset that had a value of more than \$1,000 or generated income of more than \$200? **Yes**

	Asset	Asset Type	Own- er	Value	Income Type	Income
1	State of Iowa Retirement	Retirement Plans Defined Benefit Pension Plan	Self	Unascertainable	None	None (or less than \$201)
2	First Interstate Bank (Omaha, NE) <i>Type: Checking</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest	None (or less than \$201)
3	U.S. Senate Federal Credit Union (Washington, DC) <i>Type: Checking, Savings</i>	Bank Deposit	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)

4	Axos Advisor Services Roth IRA	Retirement Plans IRA	Self	--		
4.1	<u>DWGHX</u> - American Funds Developing World Growth and Incom	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.2	<u>AMREX</u> - American Mutual Fund Class F-2 Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.3	<u>FINFX</u> - Fundamental Investors Class F-2 Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.4	<u>IGFFX</u> - International Growth and Income Fd CI F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.5	<u>NEFFX</u> - The New Economy Fund Class F-2 Shs	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.6	<u>IVW</u> - iShares S&P 500 Growth ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.7	<u>SPY</u> - SPDR?? S&P 500? ETF Trust	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.8	<u>MIAYX</u> - American Funds Multi-Sector Income Fund Class F-	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.9	<u>AIVFX</u> - American Funds International Vantage Fund Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.10	<u>QQQ</u> - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.11	<u>EEM</u> - iShares MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.12	<u>EFA</u> - iShares MSCI EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)

4.13	<u>SSO</u> - ProShares Ultra S&P500	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.14	<u>QLD</u> - ProShares Ultra QQQ	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.15	<u>MFAFX</u> - American Funds Mortgage Fund - Class F-2	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.16	<u>ABNFX</u> - BOND FUND OF AMERICA - Class F-2	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.17	<u>FRGXX</u> - Government Portfolio - Institutional Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.18	<u>FTDZX</u> - FRANKLIN BIOTECHNOLOGY DISCOVERY FUND - Advisor Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
4.19	<u>SMCFX</u> - SMALLCAP WORLD FUND INC - Class F-2	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
5	Pershing LLC	Brokerage/Managed Account	Self	--		
5.1	<u>DODIX</u> - Dodge & Cox Income Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.2	<u>FIH BX</u> - Federated Hermes Institutional High Yield Bond Fun	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.3	<u>FXAIX</u> - Fidelity 500 Index Fund	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends	\$201 - \$1,000
5.4	<u>TRLGX</u> - T. Rowe Price Large-Cap Growth Fund I Class	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains	\$1,001 - \$2,500
5.5	<u>MEIIX</u> - MFS Value Fund Class I	Mutual Funds Mutual Fund	Self	\$15,001 - \$50,000	Dividends, Capital Gains	\$1,001 - \$2,500
5.6	<u>PRRIX</u> - PIMCO Real Return Fund	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends	\$201 - \$1,000

Institutional Class						
5.7	<u>PIREX</u> - Principal Real Estate Securities Fund Institutional	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends, Capital Gains	None (or less than \$201)
5.8	<u>VMVAX</u> - Vanguard Mid-Cap Value Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends	\$201 - \$1,000
5.9	<u>VIMAX</u> - Vanguard Mid-Cap Index Fund Admiral Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.10	<u>GOSXX</u> - Federated Hermes Government Obligations Fund - Service Shares	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Dividends	None (or less than \$201)
5.11	<u>OTCIX</u> - MFS Mid Cap Growth Fund - Class I	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	Capital Gains	\$1,001 - \$2,500
6	Axos Advisor Services Traditional IRA	Brokerage/Managed Account	Self	--		
6.1	<u>IVW</u> - iShares S&P 500 Growth ETF	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.2	<u>SPY</u> - SPDR?? S&P 500? ETF Trust	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.3	<u>AVEM</u> - Avantis Emerging Markets Equity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.4	<u>QARP</u> - Xtrackers Russell 1000 US Quality at a Reasonable Price ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.5	<u>GSLC</u> - Goldman Sachs ActiveBeta(R) U.S. Large Cap Equity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.6	<u>GSSC</u> - Goldman	Mutual Funds	Self	\$1,001 -	None	None (or

	Sachs ActiveBeta(R) U.S. Small Cap Equity ETF	Exchange Traded Fund/Note		\$15,000		less than \$201)
6.7	<u>QQQ</u> - Invesco QQQ Trust, Series 1	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.8	<u>EEM</u> - iShares MSCI Emerging Markets ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.9	<u>EFA</u> - iShares MSCI EAFE ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.10	<u>TDV</u> - ProShares S&P Technology Dividend Aristocrats ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.11	<u>SSO</u> - ProShares Ultra S&P500	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.12	<u>QLD</u> - ProShares Ultra QQQ	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.13	<u>FNDE</u> - Schwab Fundamental International Equity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.14	<u>TOUS</u> - T. Rowe Price International Equity ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.15	<u>VFLO</u> - VictoryShares Free Cash Flow ETF	Mutual Funds Exchange Traded Fund/Note	Self	\$1,001 - \$15,000	None	None (or less than \$201)
6.16	<u>FRGXX</u> - Government Portfolio - Institutional Class	Mutual Funds Mutual Fund	Self	\$1,001 - \$15,000	None	None (or less than \$201)
7	Capital One (Richmond, Virginia) <i>Type: Savings</i>	Bank Deposit	Self	\$1,001 - \$15,000	Interest	\$201 - \$1,000
8	First Interstate Bank (Omaha, NE) <i>Type: Checking, Money Market</i>	Bank Deposit	Joint	\$250,001 - \$500,000	Interest	\$1,001 - \$2,500

Account
Filer comment:
Filer is a named
custodian on
relative's account.

Part 4a. Periodic Transaction Report Summary

In this section, electronically filed periodic transaction report (PTR) transactions are displayed for you.

Part 4b. Transactions

Did you, your spouse, or dependent child buy, sell, or exchange an asset where the transaction exceeded \$1,000 and was not reported on Part 4a? **Yes**

#	Owner	Ticker	Asset Name	Transaction Type	Transaction Date	Amount	Comments
1	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
2	Self	<u>IYR</u>	iShares U.S. Real Estate ETF	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
3	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
4	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
5	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
6	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
7	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Sale (Full)	03/10/2025	\$1,001 - \$15,000	n/a
8	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
9	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
10	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
11	Self	<u>BIL</u>	SPDR(R)	Purchase	03/10/2025	\$1,001 -	n/a

			Bloomberg 1-3 Month T-Bill ETF			\$15,000	
12	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Purchase	03/10/2025	\$1,001 - \$15,000	n/a
13	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
14	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Sale (Full)	03/10/2025	\$1,001 - \$15,000	n/a
15	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
16	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	03/25/2025	\$1,001 - \$15,000	n/a
17	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
18	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
19	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
20	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	03/26/2025	\$1,001 - \$15,000	n/a
21	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	03/26/2025	\$1,001 - \$15,000	n/a
22	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	04/01/2025	\$1,001 - \$15,000	n/a
23	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
24	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
25	Self	<u>IJJ</u>	iShares S&P Mid- Cap 400 Value ETF	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
26	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
27	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
28	Self	<u>IYR</u>	iShares U.S. Real	Purchase	04/01/2025	\$1,001 -	n/a

			Estate ETF			\$15,000	
29	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
30	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Full)	04/03/2025	\$1,001 - \$15,000	n/a
31	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	04/03/2025	\$1,001 - \$15,000	n/a
32	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Partial)	04/03/2025	\$1,001 - \$15,000	n/a
33	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	04/03/2025	\$1,001 - \$15,000	n/a
34	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	04/24/2025	\$1,001 - \$15,000	n/a
35	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
36	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
37	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
38	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	05/05/2025	\$1,001 - \$15,000	n/a
39	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	05/05/2025	\$1,001 - \$15,000	n/a
40	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	05/05/2025	\$1,001 - \$15,000	n/a
41	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Partial)	05/05/2025	\$1,001 - \$15,000	n/a
42	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	05/23/2025	\$1,001 - \$15,000	n/a
43	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	05/23/2025	\$1,001 - \$15,000	n/a
44	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	05/23/2025	\$1,001 - \$15,000	n/a
45	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	05/23/2025	\$1,001 - \$15,000	n/a

46	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Full)	06/04/2025	\$1,001 - \$15,000	n/a
47	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	06/04/2025	\$1,001 - \$15,000	n/a
48	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	06/04/2025	\$1,001 - \$15,000	n/a
49	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Full)	06/04/2025	\$1,001 - \$15,000	n/a
50	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	06/24/2025	\$1,001 - \$15,000	n/a
51	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
52	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
53	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
54	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
55	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Sale (Full)	06/30/2025	\$1,001 - \$15,000	n/a
56	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
57	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
58	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
59	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
60	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
61	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
62	Self	<u>IYR</u>	iShares U.S. Real Estate ETF	Sale (Full)	07/01/2025	\$1,001 - \$15,000	n/a
63	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Full)	07/01/2025	\$1,001 - \$15,000	n/a

64	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	07/01/2025	\$1,001 - \$15,000	n/a
65	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	07/01/2025	\$1,001 - \$15,000	n/a
66	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Sale (Full)	08/04/2025	\$1,001 - \$15,000	n/a
67	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
68	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
69	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
70	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Sale (Full)	08/04/2025	\$1,001 - \$15,000	n/a
71	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Purchase	08/04/2025	\$1,001 - \$15,000	n/a
72	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	08/04/2025	\$15,001 - \$50,000	n/a
73	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
74	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
75	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	08/18/2025	\$15,001 - \$50,000	n/a
76	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Sale (Full)	08/18/2025	\$1,001 - \$15,000	n/a
77	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
78	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	08/18/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
79	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
80	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Purchase	08/18/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.

81	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
82	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
83	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
84	Self	<u>FINFX</u>	AMERICAN FUNDS FUNDAMENTAL INVESTORS - Class F-2	Sale (Partial)	09/29/2025	\$1,001 - \$15,000	n/a
85	Self	<u>DWGHX</u>	AMERICAN FUNDS DEVELOPING WORLD GROWTH & INCOME FUND - Class F-2	Sale (Partial)	09/29/2025	\$1,001 - \$15,000	n/a
86	Self	<u>AIVFX</u>	American Funds International Vantage Fund - Class F-2	Sale (Partial)	09/29/2025	\$1,001 - \$15,000	n/a
87	Self	<u>MFAFX</u>	American Funds Mortgage Fund - Class F-2	Purchase	09/29/2025	\$1,001 - \$15,000	n/a
88	Self	<u>SMCFX</u>	SMALLCAP WORLD FUND INC - Class F-2	Purchase	09/29/2025	\$1,001 - \$15,000	n/a
89	Self	<u>AMRFX</u>	AMERICAN MUTUAL FUND - Class F-2	Purchase	09/29/2025	\$1,001 - \$15,000	n/a
90	Self	<u>ABNFX</u>	BOND FUND OF AMERICA - Class F-2	Purchase	09/29/2025	\$1,001 - \$15,000	n/a
91	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Sale (Partial)	12/24/2025	\$1,001 - \$15,000	n/a
92	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	12/24/2025	\$1,001 - \$15,000	n/a
93	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Sale (Partial)	12/24/2025	\$1,001 - \$15,000	n/a
94	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	12/24/2025	\$1,001 - \$15,000	n/a
95	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	12/24/2025	\$1,001 - \$15,000	n/a
96	Self	<u>PMPIX</u>	PRECIOUS METALS ULTRASECTOR PROFUND -	Purchase	12/24/2025	\$1,001 - \$15,000	n/a

PRECIOUS
METALS
ULTRASECTOR
PROFUND
INVESTOR CLASS

97	Self	<u>SSO</u>	ProShares Ultra S&P500	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
98	Self	<u>QLD</u>	ProShares Ultra QQQ	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
99	Self	<u>FTDZX</u>	FRANKLIN BIOTECHNOLOGY DISCOVERY FUND - Advisor Class	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
100	Self	<u>PMPIX</u>	PRECIOUS METALS ULTRASECTOR PROFUND - PRECIOUS METALS ULTRASECTOR PROFUND INVESTOR CLASS	Sale (Partial)	12/31/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
101	Self	<u>FRGXX</u>	Government Portfolio - Institutional Class	Purchase	12/31/2025	\$1,001 - \$15,000	n/a
102	Self	<u>DODIX</u>	Dodge & Cox Income Fund - Class I	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
103	Self	<u>FIHBX</u>	Federated Hermes Institutional High Yield Bond Fund - Institutional Shares	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
104	Self	<u>FXAIX</u>	Fidelity 500 Index Fund	Sale (Partial)	01/03/2025	\$1,001 - \$15,000	n/a
105	Self	<u>TRLGX</u>	T. Rowe Price Large-Cap Growth Fund - T. Rowe Price Large-Cap Growth Fund-I Class	Sale (Partial)	01/03/2025	\$1,001 - \$15,000	n/a
106	Self	<u>OTCIX</u>	MFS Mid Cap Growth Fund - I	Sale (Partial)	01/03/2025	\$1,001 - \$15,000	n/a
107	Self	<u>PRRIX</u>	PIMCO Real Return Fund - Institutional	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
108	Self	<u>VMVAX</u>	Vanguard Mid-Cap Value Index	Sale (Partial)	01/03/2025	\$1,001 - \$15,000	n/a

			Fund - Admiral Shares				
109	Self	<u>VIMAX</u>	Vanguard Mid-Cap Index Fund - Admiral Shares	Sale (Partial)	01/03/2025	\$1,001 - \$15,000	n/a
110	Self	<u>FXAIX</u>	Fidelity 500 Index Fund	Purchase	04/21/2025	\$1,001 - \$15,000	n/a
111	Self	<u>TRLGX</u>	T. Rowe Price Large-Cap Growth Fund - T. Rowe Price Large-Cap Growth Fund-I Class	Purchase	04/21/2025	\$1,001 - \$15,000	n/a
112	Self	<u>DODIX</u>	Dodge & Cox Income Fund - Class I	Sale (Partial)	10/17/2025	\$1,001 - \$15,000	n/a
113	Self	<u>FXAIX</u>	Fidelity 500 Index Fund	Sale (Partial)	10/17/2025	\$1,001 - \$15,000	n/a
114	Self	<u>TRLGX</u>	T. Rowe Price Large-Cap Growth Fund - T. Rowe Price Large-Cap Growth Fund-I Class	Sale (Partial)	10/17/2025	\$1,001 - \$15,000	n/a
115	Self	<u>MEIIX</u>	MFS Value Fund - I	Sale (Partial)	10/17/2025	\$1,001 - \$15,000	n/a
116	Self	<u>PRRIX</u>	PIMCO Real Return Fund - Institutional	Sale (Partial)	10/17/2025	\$1,001 - \$15,000	n/a
117	Self	<u>DODIX</u>	Dodge & Cox Income Fund - Class I	Purchase	11/19/2025	\$1,001 - \$15,000	n/a
118	Self	<u>FIHBX</u>	Federated Hermes Institutional High Yield Bond Fund - Institutional Shares	Purchase	11/19/2025	\$1,001 - \$15,000	n/a
119	Self	<u>MEIIX</u>	MFS Value Fund - I	Purchase	11/19/2025	\$1,001 - \$15,000	n/a
120	Self	<u>PRRIX</u>	PIMCO Real Return Fund - Institutional	Purchase	11/19/2025	\$1,001 - \$15,000	n/a
121	Self	<u>VMVAX</u>	Vanguard Mid-Cap Value Index Fund - Admiral Shares	Purchase	11/19/2025	\$1,001 - \$15,000	n/a
122	Self	<u>VIMAX</u>	Vanguard Mid-Cap Index Fund -	Purchase	11/19/2025	\$1,001 - \$15,000	n/a

Admiral Shares

123	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
124	Self	<u>IYR</u>	iShares U.S. Real Estate ETF	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
125	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	01/02/2025	\$1,001 - \$15,000	n/a
126	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
127	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
128	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Purchase	01/02/2025	\$1,001 - \$15,000	n/a
129	Self	<u>PIMIX</u>	PIMCO Income Fund - Institutional	Sale (Full)	01/03/2025	\$1,001 - \$15,000	n/a
130	Self	<u>SSIRX</u>	Ocean Park Tactical Core Income Fund - Ocean Park Tactical Core Income Fund Instl Class	Sale (Full)	01/03/2025	\$1,001 - \$15,000	Fund previously known as "Sierra Tactical Core Income Fund Instl Class."
131	Self	--	SRFJX - OCEAN PARK TACTICAL RISK SPECTRUM 50 FUND INST'L CLASS	Sale (Full)	01/03/2025	\$1,001 - \$15,000	Fund previously known as "Sierra Tactical Risk Spectrum 50 Fund Instl Class."
132	Self	--	STBJX - OCEAN PARK TACTICAL BOND FUND INST'L CLASS	Sale (Full)	01/03/2025	\$1,001 - \$15,000	Fund previously known as "Sierra Tactical Bond Fund Class Institutional."
133	Self	<u>STMEX</u>	Ocean Park Tactical Municipal Fund - Ocean Park Tactical Municipal Fund Instl Class Shares	Sale (Full)	01/03/2025	\$1,001 - \$15,000	Fund previously known as "Sierra Tactical Municipal Fund Institutional Shares."
134	Self	<u>SIRRX</u>	Ocean Park Tactical All Asset Fund - Ocean	Sale (Full)	01/03/2025	\$1,001 - \$15,000	Fund previously known as "Sierra

			Park Tactical All Asset Fund Instl Class				Tactical All Asset Fund Instl Class."
135	Self	<u>SWPPX</u>	Schwab S&P 500 Index Fund	Sale (Full)	01/03/2025	\$1,001 - \$15,000	n/a
136	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
137	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
138	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
139	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
140	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
141	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
142	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	01/03/2025	\$1,001 - \$15,000	n/a
143	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Sale (Full)	03/10/2025	\$1,001 - \$15,000	n/a
144	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
145	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
146	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
147	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Purchase	03/10/2025	\$1,001 - \$15,000	n/a
148	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	03/10/2025	\$15,001 - \$50,000	n/a
149	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
150	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond	Sale (Full)	03/10/2025	\$1,001 - \$15,000	n/a

ETF

151	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	03/10/2025	\$1,001 - \$15,000	n/a
152	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	03/25/2025	\$15,001 - \$50,000	n/a
153	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
154	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
155	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Purchase	03/25/2025	\$1,001 - \$15,000	n/a
156	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	03/26/2025	\$1,001 - \$15,000	n/a
157	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	03/26/2025	\$1,001 - \$15,000	n/a
158	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	04/01/2025	\$1,001 - \$15,000	n/a
159	Self	<u>IJS</u>	iShares S&P Small-Cap 600 Value ETF	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
160	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
161	Self	<u>IJJ</u>	iShares S&P Mid-Cap 400 Value ETF	Sale (Full)	04/01/2025	\$1,001 - \$15,000	n/a
162	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
163	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
164	Self	<u>IYR</u>	iShares U.S. Real Estate ETF	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
165	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	04/01/2025	\$1,001 - \$15,000	n/a
166	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Full)	04/03/2025	\$1,001 - \$15,000	n/a
167	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	04/03/2025	\$1,001 - \$15,000	n/a
168	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average	Sale (Partial)	04/03/2025	\$1,001 - \$15,000	n/a

ETF Trust

169	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	04/03/2025	\$15,001 - \$50,000	n/a
170	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	04/24/2025	\$15,001 - \$50,000	n/a
171	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
172	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
173	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	04/24/2025	\$1,001 - \$15,000	n/a
174	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	05/05/2025	\$1,001 - \$15,000	n/a
175	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	05/05/2025	\$15,001 - \$50,000	n/a
176	Self	<u>IVE</u>	iShares S&P 500 Value ETF	Sale (Full)	05/05/2025	\$1,001 - \$15,000	n/a
177	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Partial)	05/05/2025	\$1,001 - \$15,000	n/a
178	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	05/23/2025	\$15,001 - \$50,000	n/a
179	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	05/23/2025	\$1,001 - \$15,000	n/a
180	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	05/23/2025	\$1,001 - \$15,000	n/a
181	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	05/23/2025	\$1,001 - \$15,000	n/a
182	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Full)	06/04/2025	\$1,001 - \$15,000	n/a
183	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	06/04/2025	\$15,001 - \$50,000	n/a
184	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	06/04/2025	\$1,001 - \$15,000	n/a
185	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Full)	06/04/2025	\$1,001 - \$15,000	n/a

186	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	06/24/2025	\$15,001 - \$50,000	n/a
187	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
188	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
189	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	06/24/2025	\$1,001 - \$15,000	n/a
190	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
191	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Sale (Full)	06/30/2025	\$1,001 - \$15,000	n/a
192	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
193	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
194	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
195	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
196	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Purchase	06/30/2025	\$1,001 - \$15,000	n/a
197	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	06/30/2025	\$1,001 - \$15,000	n/a
198	Self	<u>IYR</u>	iShares U.S. Real Estate ETF	Sale (Full)	07/01/2025	\$1,001 - \$15,000	n/a
199	Self	<u>DIA</u>	State Street SPDR Dow Jones Industrial Average ETF Trust	Sale (Full)	07/01/2025	\$1,001 - \$15,000	n/a
200	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	07/01/2025	\$1,001 - \$15,000	n/a
201	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	07/01/2025	\$1,001 - \$15,000	n/a
202	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Sale (Full)	08/04/2025	\$1,001 - \$15,000	n/a
203	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a

204	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
205	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
206	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Sale (Full)	08/04/2025	\$1,001 - \$15,000	n/a
207	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Purchase	08/04/2025	\$1,001 - \$15,000	n/a
208	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Purchase	08/04/2025	\$15,001 - \$50,000	n/a
209	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
210	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Sale (Partial)	08/04/2025	\$1,001 - \$15,000	n/a
211	Self	<u>BIL</u>	SPDR(R) Bloomberg 1-3 Month T-Bill ETF	Sale (Full)	08/18/2025	\$15,001 - \$50,000	n/a
212	Self	<u>IEF</u>	iShares 7-10 Year Treasury Bond ETF	Sale (Full)	08/18/2025	\$1,001 - \$15,000	n/a
213	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
214	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
215	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
216	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
217	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
218	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
219	Self	<u>EFA</u>	iShares MSCI EAFE ETF	Purchase	08/18/2025	\$1,001 - \$15,000	n/a
220	Self	<u>IVW</u>	iShares S&P 500 Growth ETF	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	n/a
221	Self	<u>EFA</u>	iShares MSCI	Sale	08/26/2025	\$1,001 -	n/a

			EAFE ETF	(Partial)		\$15,000	
222	Self	<u>HYG</u>	iShares iBoxx \$ High Yield Corporate Bond ETF	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
223	Self	<u>QQQ</u>	Invesco QQQ Trust, Series 1	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	n/a
224	Self	<u>SPY</u>	State Street SPDR S&P 500 ETF Trust	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	n/a
225	Self	<u>LQD</u>	iShares iBoxx \$ Investment Grade Corporate Bond ETF	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
226	Self	<u>EEM</u>	iShares MSCI Emerging Markets ETF	Sale (Partial)	08/26/2025	\$1,001 - \$15,000	n/a
227	Self	<u>AMID</u>	Argent Mid Cap ETF	Purchase	08/26/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
228	Self	<u>QARP</u>	Xtrackers Russell 1000 US Quality at a Reasonable Price ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
229	Self	<u>TDV</u>	ProShares S&P Technology Dividend Aristocrats ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
230	Self	<u>GSLC</u>	Goldman Sachs ActiveBeta(R) U.S. Large Cap Equity ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
231	Self	<u>BITB</u>	Bitwise Bitcoin ETF	Purchase	08/26/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
232	Self	<u>SDCI</u>	USCF SummerHaven Dynamic Commodity Strategy No K-1 Fund	Purchase	08/26/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
233	Self	<u>VFLO</u>	VictoryShares Free Cash Flow ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
234	Self	<u>GSSC</u>	Goldman Sachs ActiveBeta(R) U.S. Small Cap	Purchase	08/26/2025	\$1,001 - \$15,000	n/a

			Equity ETF				
235	Self	<u>AVEM</u>	Avantis Emerging Markets Equity ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
236	Self	<u>TOUS</u>	T. Rowe Price International Equity ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
237	Self	<u>FNDE</u>	Schwab Fundamental International Equity ETF	Purchase	08/26/2025	\$1,001 - \$15,000	n/a
238	Self	<u>QLD</u>	ProShares Ultra QQQ	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
239	Self	<u>PMPIX</u>	PRECIOUS METALS ULTRASECTOR PROFUND - PRECIOUS METALS ULTRASECTOR PROFUND INVESTOR CLASS	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
240	Self	<u>SSO</u>	ProShares Ultra S&P500	Purchase	12/24/2025	\$1,001 - \$15,000	n/a
241	Self	<u>FTDZX</u>	FRANKLIN BIOTECHNOLOGY DISCOVERY FUND - Advisor Class	Purchase	12/24/2025	\$1,001 - \$15,000	Value below filing threshold as of 12/31/2025.
242	Self	<u>PMPIX</u>	PRECIOUS METALS ULTRASECTOR PROFUND - PRECIOUS METALS ULTRASECTOR PROFUND INVESTOR CLASS	Sale (Full)	12/31/2025	\$1,001 - \$15,000	n/a
243	Self	<u>FRGXX</u>	Government Portfolio - Institutional Class	Purchase	12/31/2025	\$1,001 - \$15,000	n/a

Part 5. Gifts

Did you, your spouse, or dependent child receive any reportable gift during the reporting period? **No**

Part 6. Travel

Did you, your spouse, or dependent child receive any **reportable travel**? **No**

Part 7. Liabilities

Did you, your spouse, or dependent child have a reportable, non-revolving charge account liability worth more than \$10,000 at any time or a revolving charge account whose value exceeded \$10,000 as of the last day of the reporting period? **Yes**

#	In-curred	Debtor	Type	Points	Rate (Term)	Amount	Creditor	Comments
1	2020	Self	Mortgage	0	2.75% (30 years)	\$50,001 - \$100,000	Freedom Mortgage Indianapolis, IN	n/a

Part 8. Positions

Did you hold any reportable outside positions during the reporting period? **No**

Part 9. Agreements

Did you have any reportable agreement or arrangement with an outside entity? **Yes**

#	Date	Parties Involved	Type	Status and Terms	Comments
1	Jan 2002	Iowa Public Employees' Retirement System Des Moines, IA	Continuing participation in an employee benefit plan	This is a retirement benefits plan that will pay retirement benefits upon eligibility and includes disability benefits and preretirement death benefits.	n/a
2	Nov 2019	Simon & Schuster, Inc. New York, NY	Royalty Agreement	Agreement to receive book advance (disclosed in CY 2020 and 2021) and royalty payments based on usual and customary terms. No income from this agreement was received in 2025.	n/a

Part 10. Compensation

Only required if you are a candidate or this is your first report: Did any person or entity pay more than \$5,000 to you or for services provided by you? **This is not my first report.**

Attachments & Comments

No attachments added.

No comments added.