

September 4, 2026

Shubha Sastry
Designated Agency Ethics Official and
Acting Deputy Legal Adviser
Office of the Legal Adviser
U.S. Department of State
Washington, D.C. 20520

Re: Ethics Undertakings

Dear Ms. Sastry:

The purpose of this letter is to describe the steps that I will take to avoid any actual or apparent conflict of interest in the event that I am confirmed for the position of U.S. Ambassador to Barbados, the Federation of Saint Kitts & Nevis, Saint Lucia, Antigua & Barbuda, the Commonwealth of Dominica, Grenada and Saint Vincent & the Grenadines. It is my responsibility to understand and comply with commitments outlined in this agreement.

SECTION 1 – GENERAL COMMITMENTS

As required by the criminal conflicts of interest law at 18 U.S.C. § 208(a), I will not participate personally and substantially in any particular matter in which I know that I have a financial interest directly and predictably affected by the matter, or in which I know that a person whose interests are imputed to me has a financial interest directly and predictably affected by the particular matter, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I understand that the interests of the following persons are imputed to me:

- Any spouse or minor child of mine;
- Any general partner of a partnership in which I am a limited or general partner;
- Any organization in which I serve as an officer, director, trustee, general partner, or employee, even if uncompensated; and
- Any person or organization with which I am negotiating or have an arrangement concerning prospective employment.

In addition, I will recuse myself from participation on a case-by-case basis in any particular matter involving specific parties in which I determine that a reasonable person with knowledge of the relevant facts would question my impartiality in that matter, unless I am first authorized to participate, pursuant to the impartiality regulations at 5

In the event that an actual or potential conflict of interest arises during my appointment, I will consult with an agency ethics official and take the measures necessary to resolve the conflict, such as recusal from the particular matter or divestiture of an asset.

I understand that a heightened prospect of a conflict of interest could exist as to companies that maintain a presence in Barbados, the Federation of Saint Kitts & Nevis, Saint Lucia, Antigua & Barbuda, the Commonwealth of Dominica, Grenada or Saint Vincent & the Grenadines, because they may be more likely than other companies to seek official assistance from or make contact with the Embassy or otherwise be affected by policies and engagement implemented by the Embassy. I will remain alert to the possible need for recusal where appropriate.

I have a managed account, and I will direct the account manager or investment professional to obtain my prior approval on a case-by-case basis for the purchase of any assets other than cash, cash equivalents, investment funds that qualify for the regulatory exemption for diversified mutual funds and unit investment trusts at 5 C.F.R. § 2640.201(a), obligations of the United States, or municipal bonds. I will monitor whether the account manager or investment professional is following this direction regarding prior approval.

I will receive a live ethics briefing from a member of the ethics office after my confirmation but not later than 15 days after my appointment pursuant to the ethics program regulation at 5 C.F.R. § 2638.305. Within 90 days of my confirmation, I will submit my Certification of Ethics Agreement Compliance which documents my compliance with this ethics agreement.

I will not modify this ethics agreement without your approval and the approval of the U.S. Office of Government Ethics (OGE) pursuant to the ethics agreement requirements contained in the financial disclosure regulation at 5 C.F.R. § 2634.803(a)(4).

SECTION 2 – GREENWOOD PRODUCTIONS, INC.

Upon confirmation, I will resign from my position with Greenwood Productions, Inc. I am not owed any fees or a bonus. In the event any are offered, they will be received prior to my appointment, or I will forfeit the payment. I will continue to have an ownership interest in this entity, but I will not provide services material to the production of income. Instead, I will receive only passive income from it. I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of Greenwood Productions, Inc., unless I first obtain a written waiver, pursuant to 18 U.S.C. §208(b)(1). If I receive any fees and/or a

bonus, and the amount of the payment is more than \$10,000, pursuant to the impartiality regulation at 5 C.F.R. § 2635.503, for a period of two years from the date of the payment, I will not participate personally and substantially in any particular matter involving specific parties in which Greenwood Productions, Inc., is a party or represents a party, unless I first receive a written waiver, pursuant to 5 C.F.R. § 2635.503(c).

☐

SECTION 3 – LEE GREENWOOD, INC.

My spouse is the Director and Owner of Lee Greenwood, Inc. I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on Lee Greenwood, Inc., unless I first obtain a written waiver, pursuant to 18 U.S.C. §208(b)(1).

SECTION 4 – DIVESTITURES

As soon as practicable but not later than 90 days after my confirmation, I will divest my interests in the entities listed in Attachment A. With regard to each of these entities, I will not participate personally and substantially in any particular matter that to my knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I have verified that I will be able to carry out the divestitures within the timeframe described above.

I understand that I may be eligible to request a Certificate of Divestiture for qualifying assets and that a Certificate of Divestiture is effective only if obtained prior to divestiture. Regardless of whether I receive a Certificate of Divestiture, I will ensure that all divestitures discussed in this agreement occur within the agreed upon timeframes and that all proceeds are invested in non-conflicting assets. I understand that I must submit my request for a Certificate of Divestiture to allow for adequate time for OGE to process the Certificate of Divestiture and in order to divest assets within the agreed upon timeframe.

I (including my spouse and dependent children if applicable) will not repurchase any asset I was required to divest without consulting with my agency ethics official and the U.S. Office of Government Ethics.

☐

SECTION 5 – PARTNERS GROUP PRIVATE EQUITY FUND LLC

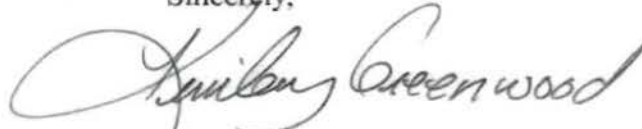
As soon as practicable but not later than 120 days after my confirmation, I will divest my interests in the Partners Group Private Equity Fund LLC. With regard to this fund, I will not participate personally and substantially in any particular matter that to my

knowledge has a direct and predictable effect on the financial interests of the entity until I have divested it, unless I first obtain a written waiver, pursuant to 18 U.S.C. § 208(b)(1), or qualify for a regulatory exemption, pursuant to 18 U.S.C. § 208(b)(2). I have verified that I will be able to carry out the divestiture within the timeframe described above

SECTION 6 – PUBLIC POSTING

I have been advised that this ethics agreement and the Certification of Ethics Agreement Compliance will be posted publicly, consistent with the public information law at 5 U.S.C. § 552, on the website of the U.S. Office of Government Ethics with ethics agreements of other presidential nominees who file public financial disclosure reports.

Sincerely,

A handwritten signature in cursive script that reads "Kimberly Greenwood". The signature is written in dark ink and is positioned above the printed name.

Kimberly Greenwood

Kimberly Greenwood
Ethics Agreement
Attachment A

Stocks

Abbott Laboratories

Alphabet Inc.

Amazon.com, Inc.

Amgen Inc.

Apple Inc.

Automatic Data Processing, Inc.

Axon Enterprise Inc.

Blackstone Inc.

Block Inc.

Boeing Company

Bristol-Myers Squibb

Carnival Corp.

Cisco Systems Inc.

Coca Cola Company

ConocoPhillips

Corpay Inc.

Crocs, Inc.

EOG Resources, Inc.

Expand Energy Corp.

Exxon Mobil Corp
Ford Motor Co.
General Dynamics Corp
Halliburton Company
Honeywell International Inc.
International Business Machines
Johnson & Johnson
KKR & Co. Inc
The Kraft Heinz Co.
Live Nation Entertainment, Inc.
Marathon Petroleum Corp.
Marvell Technology Inc.
Merck & Co, Inc.
Meta Platforms Inc.
MetLife, Inc.
Microsoft Corp.
Monster Beverage Corp.
Morgan Stanley
Nucor Corp.
Nvidia Corp.
Palo Alto Networks, Inc.
Pfizer Inc.
Phillips 66
Procter & Gamble Co.

Qualcomm Inc.

Seagate Technology Holdings PLC

Spotify Technology SA

Trane Technologies

United Parcel Service

Viking Therapeutics, Inc.

The Walt Disney Company

Warner Brothers/Discovery Inc.

Funds

BlackRock Health Sciences Term Trust

First Trust North American Energy Infrastructure Fund

Grayscale Ethereum Staking ETF

